

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65100CT2004PTC016590

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAJCS2817F

(ii) (a) Name of the company

SHRI RAM FINANCE CORPORA

(b) Registered office address

RAIPUR ROAD
BALODA BAZAR, DISTT. BALODA BAZAR
RAIPUR
Raipur
Chattisgarh
492222

(c) *e-mail ID of the company

compliance@srfcnbfc.com

(d) *Telephone number with STD code

9826900064

(e) Website

(iii) Date of Incorporation

29/04/2004

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	16,500,000	15,480,713	15,480,713	15,480,713
Total amount of equity shares (in Rupees)	165,000,000	154,807,130	154,807,130	154,807,130

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	16,500,000	15,480,713	15,480,713	15,480,713

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	165,000,000	154,807,130	154,807,130	154,807,130

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	13,129,923	0	13129923	131,299,230	131,299,230	
Increase during the year	2,350,790	0	2350790	23,507,900	23,507,900	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	2,350,790	0	2350790	23,507,900	23,507,900	
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	15,480,713	0	15480713	154,807,130	154,807,130	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☒ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		29/11/2021	
Date of registration of transfer (Date Month Year)		01/01/2022	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	518,870	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	27		
Transferor's Name	HUF	BHATTAR	GAURAV
	Surname	middle name	first name
Ledger Folio of Transferee	02		

Transferee's Name	<input type="text" value="BHATTAR"/>	<input type="text"/>	<input type="text" value="GAURAV"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,300	100000/1000000	500,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			500,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	450,000,000	200,000,000	150,000,000	500,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,243,797,235

(ii) Net worth of the Company

1,426,701,859

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	15,480,713	100	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	15,480,713	100	0	0

Total number of shareholders (promoters)

4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

4

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	4
Members (other than promoters)	0	0
Debenture holders	2	2

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	0	2	0	91.91	0
B. Non-Promoter	0	1	0	1	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	1	0	1	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	1	2	1	91.91	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

4

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Ganesh Kumar Bhattar	01248202	Director	3,046,343	
Gaurav Bhattar	01248032	Director	111,811,900	
Harsh Kumar Maheshwari	00088660	Director	0	
Radha Bansal	CHEPB6902M	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	29/11/2021	5	5	100
EXTRA ORDINARY GENERAL MEETING	12/01/2022	4	4	100
EXTRA ORDINARY GENERAL MEETING	15/03/2022	4	4	100

B. BOARD MEETINGS

*Number of meetings held

19

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	06/04/2021	3	3	100
2	01/05/2021	3	2	66.67
3	06/06/2021	3	2	66.67

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	08/06/2021	3	2	66.67
5	28/06/2021	3	2	66.67
6	10/07/2021	3	2	66.67
7	17/09/2021	3	2	66.67
8	10/10/2021	3	2	66.67
9	20/10/2021	3	2	66.67
10	27/10/2021	3	2	66.67
11	28/10/2021	3	2	66.67
12	18/11/2021	3	2	66.67

C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CSR COMMIT	10/04/2021	3	2	66.67
2	CSR COMMIT	21/12/2021	3	2	66.67
3	AUDIT COMM	10/01/2022	3	2	66.67
4	NERATION C	10/01/2022	3	2	66.67
5	RISK MANAGI	10/01/2022	2	2	100
6	ASSET LIABIL	10/01/2022	2	2	100

D. * ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/09/2022
								(Y/N/NA)

1	Ganesh Kuma	19	19	100	6	6	100	Yes
2	Gaurav Bhatta	19	19	100	6	6	100	Yes
3	Harsh Kumar I	19	1	5.26	4	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Radha Bansal	Company Secre	529,500	0	0	0	529,500
	Total		529,500	0	0	0	529,500

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Gaurav Bhattar	Director	4,800,000	0	0	0	4,800,000
2	Ganesh Kumar Bha	Director	1,800,000	0	0	0	1,800,000
	Total		6,600,000	0	0	0	6,600,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

CS Lucky Agrawal

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

15747

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

04

dated

30/09/2022

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

GAURAV BHATTAR
Digitally signed by GAURAV BHATTAR
Date: 2023.01.05 14:54:59 +05'30'

DIN of the director

01248032

To be digitally signed by

LUCKY AGRAWAL
Digitally signed by LUCKY AGRAWAL
Date: 2023.01.06 11:47:59 +05'30'

- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number 42464

Certificate of practice number 15747

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

ATTACHMENT.pdf
mgt-8 srfcpl.pdf
Details of Board Meeting.pdf
clarification letter Signed.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



SHRI RAM FINANCE
CORPORATION PVT. LTD.
EMPOWERING FINANCIAL STRENGTH

SHAREHOLDING PATTERN AS ON MARCH 31, 2022

Sr. No.	Name	No. of shares	Nominal value	Total
1	Ganesh Bhattar	3046343	10	30463430
2	Gaurav Bhattar	11181190	10	111811900
3	Sarla Bhattar	637758	10	6377580
4	Swati Bhattar	615422	10	6154220
	Total	15480713		154807130

CIN: U65100CT2004PTC016590

Reg. Off : Raipur Road, Balodabazar - 493332, Distt. - Balodabazar (C.G.)

Corporate Off : 29/B-7, Parishram Tower, In Front of Doordarshan Tv Tower, Shankar Nagar 492007 Raipur (C.G.)

Tel: 1800-2708-200 Email: Contact@srfcnbfc.com



SHRI RAM FINANCE
CORPORATION PVT. LTD.
EMPOWERING FINANCIAL STRENGTH

DETAILS OF DEBENTURES AS ON MARCH 31, 2022

Sr. No.	Name	Number of debentures	Face value per debenture (INR)	Total amount of debentures (INR)
1	Creation Investments FPI LLC	300	10,00,000	30,00,00,000
2	Vivriti samarth Bond Fund through its Trustee Vistra (ITCt) India Limited	2000	1,00,000	20,00,00,000
	Total			50,00,00,000

CIN: U65100CT2004PTC016590

Reg. Off : Raipur Road, Balodabazar - 493332, Distt. - Balodabazar (C.G.)

Corporate Off : 29/B-7, Parishram Tower, In Front of Doordarshan Tv Tower, Shankar Nagar 492007 Raipur (C.G.)

Tel: 1800-2708-200 Email: Contact@srfcnbfc.com



SHRI RAM FINANCE
CORPORATION PVT. LTD.
EMPOWERING FINANCIAL STRENGTH

LIST OF DIRECTORS AS ON MARCH 31, 2022

S. No	DIRECTOR	RESIDENTIAL ADDRESS	PAN	DIN
1	GANESH KUMAR BHATTAR	29/B7 Parishram Tower Anupam Nagar, Shankar Nagar, Raipur (C.G.)- 492007	ADDPB8849E	01248202
2	GAURAV BHATTAR	29/B7 Parishram Tower Anupam Nagar, Shankar Nagar, Raipur (C.G.)- 492007	AEDPB4738F	01248032
3	HARSH KUMAR MAHESHWARY	1803-A, Lakshachandi Heights, Gokuldharm, Goregaon (East), Mumbai (M.H)- 400063.	AAZPM5674P	00088660

CIN: U65100CT2004PTC016590

Reg. Off : Raipur Road, Balodabazar - 493332, Distt. - Balodabazar (C.G.)

Corporate Off : 29/B-7, Parishram Tower, In Front of Doordarshan Tv Tower, Shankar Nagar 492007 Raipur (C.G.)

Tel: 1800-2708-200 Email: Contact@srfcnbfc.com



SHRI RAM FINANCE
CORPORATION PVT. LTD.
EMPOWERING FINANCIAL STRENGTH

Clarification Letter

To,
The Registrar of Companies, Chhattisgarh
1st Floor, Ashok Pingley Bhawan
Municipal Corporation, Nehru Chowk
Bilaspur- 495007, Chhattisgarh

Subject: Clarification regarding Form MGT-7 filed vide SRN F47592696 dated 24/11/2022

Ref: Shri Ram Finance Corporation Private Limited (CIN: U65100CT2004PTC016590)

Dear Sir,

With reference to the captioned matter, we would like to inform your good office that the Company had filed e-form MGT-7 on 24/11/2022 vide SRN F47592696 with your good office. At the time of preparing list of debentures, following clerical mistake have occurred:

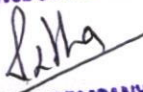
The actual no. of debentures in the said year were of INR 50,00,00,000 but it was mistakenly entered as INR 15,00,00,000.

In the light of the above fact, we request you to kindly consider the revised form MGT-7 and list of Debentures and take the same in your record.

Thanking you,

Yours Sincerely,

For, SHRI RAM FINANCE CORPORATION PVT. LTD.


CS Radha Bansal
(Company Secretary)
M No. FCS 11799

CIN : U65100CT2004PTC016590

Reg. Off.: Raipur Road, Balodabazar - 493332, Distt. - Balodabazar (C.G.)

Corporate Off.: 29/B-7, Parishram Tower, In front of Doordarshan TV Tower, Shankar Nagar, Raipur 492007 (C.G.)

Tel : 1800-2708-2000 Email : contact@srfcnbfc.com Website : www.srfc.org.in



NUMBER OF BOARD MEETING HELD

The Board of Directors duly met 19 (Nineteen) times during the financial year from 1st April, 2021 to 31st March, 2022. The dates on which the meeting was held are as follows:

Sr. No.	Date of Board meeting	Strength of the Board	No. of Directors Present
1	06-04-2021	3	3
2	01-05-2021	3	2
3	06-06-2021	3	3
4	08-06-2021	3	2
5	28-06-2021	3	2
6	10-07-2021	3	2
7	17-09-2021	3	2
8	10-10-2021	3	2
9	20-10-2021	3	2
10	27-10-2021	3	2
11	28-10-2021	3	2
12	18-11-2021	3	2
13	29-11-2021	3	2
14	13-12-2021	3	2
15	30-12-2021	3	2
16	10-01-2022	3	2
17	14-03-2022	3	2
18	26.03.2022	3	2
19	31-03-2022	3	2

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Shri Ram Finance Corporation Private Limited (CIN: U65100CT2004PTC016590)** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act;
 2. Maintenance of registers/records & making entries therein within the time prescribed therefore which are under ongoing process of updating till date;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time or if not on prescribed time then suitable penalty for the same was paid duly;
 4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. The Closure of Register of Members / Security holders was not applicable during the year.
 6. The Company has complied with the provisions of section 185 of Companies Act 2013 in respect of grant of loan, making investment and providing guarantees and securities, as applicable.
 7. The Contracts/arrangements with related parties as specified in section 188 of the Act;



8. There were Issue or allotment of shares and ~~one~~ transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities;
9. There is no instance of keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. The Company has not declared the Dividend therefore, payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. The Company has complied with the provisions of the Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. During the year the Company was not required to take any approvals to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company being RBI registered NBFC therefore provisions related to the deposit is not applicable to the Company;
16. The Company has complied with the provisions of the borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect to utmost extent wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act in terms of non trade investments as found in the Audit Report and description received from the company;
18. There were some Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

Place: Raipur
Date: 22/11/2022

Lucky Agrawal
Lucky Agrawal & Associates
CS Lucky Agrawal
MRN: 42464
C.P. No: 15747
UDIN: A042464D001999034

MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : F56345549

Service Request Date : 06/01/2023

Payment made into : ICICI Bank

Received From :

Name : SHRI ram FINANCE
Address : ANUPAM NAGAR
TV TOWER ROAD
RAIPUR, Chhattisgarh
IN - 492001

Entity on whose behalf money is paid

CIN: U65100CT2004PTC016590
Name : SHRI RAM FINANCE CORPORATION PRIVATE LIMITED
Address : RAIPUR ROAD
BALODA BAZAR, DISTT. BALODA BAZAR
RAIPUR, Chattisgarh
India - 493332

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2022	Normal	600.00
	Additional	3800.00
Total		4400.00

Mode of Payment: Credit Card- ICICI Bank

Received Payment Rupees: Four Thousand Four Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)